

SSAS unlisted company shares sale questionnaire

Important notes



- This form enables us to review proposed unlisted company share sale.
- Please complete as fully as possible. **Incomplete information may lead to delays.**
- You should also refer to your **SSAS Member Trustee Guide** a copy of which can also be found on our website.

SSAS details

SSAS name

Xafinity SSSAS reference no

About the shares

Please give details of the company in which the shares are to be sold

Company name

Company registered address

Address:

Number of shares to be sold

..... Shares

Or

..... % of holding

Expected sale price

£

Please confirm who currently holds the share certificates

Are there any company or shareholder agreements required in relation to the sale?

Yes / No

If yes, please forward a copy of all such agreements

Where a company or shareholder agreements is required to be signed as part of the transaction please confirm the solicitor firm to be appointed to act on behalf of your SSAS.

Firm:

Solicitor:

Address:

Please insert address and phone number / email address of solicitor and complete the solicitor appointment & Trustee's resolution in this questionnaire.

Tel:

Email:

About the purchaser

Name of purchaser

Address of purchaser

Address:

Is the person connected?

Yes / No

If connected, please ensure that an independent professional valuation of the shares is provided.

You can find details of the definition of 'connected' in the "SSAS Member Trustee Guide".

Solicitor appointment & resolutions

Please complete where a shareholders agreement (or similar) is involved

I/We the undersigned hereby declare:

- All relevant information has been provided.
- I/we understand that I am/we are fully responsible for ALL disbursements, legal fees, valuation fees and any other fees incurred in this matter even if the transaction is not completed, irrespective of the reason, and that these fees must be met from existing SSAS funds. I/We hereby authorise Xafinity to meet any of these fees from the SSAS funds.
- I/we have read the SSAS Member Trustee Guide and confirm that the information that I/we have provided is true to the best of my/our knowledge and that the transaction will be carried out adhering to the guidance outlined in these documents.
- I/we authorise Xafinity to instruct _____ solicitors to carry out the legal work on behalf of the SSAS (**where applicable**).
- I/we confirm (**where applicable**):
 1. That I/we accept that Xafinity reserves the right to refer any legal matter that they feel the SSAS needs separate legal advice on to their preferred solicitors and that any costs relating to this will be payable by the SSAS.
 2. That I/we accept Xafinity's belief that by not using their preferred solicitor that legal costs will be higher than they would be if their preferred solicitor was used.
 3. That I/we authorise Xafinity to instruct and deal with the solicitor shown above to carry out the legal work on behalf of the SSAS.
- Where I/we am/are acting on an 'Execution Only' basis (i.e. I/we haven't asked for or received financial advice) I/we will not hold Xafinity liable for any losses resulting from my/our acting without financial advice.

All member trustees **must** sign this resolution and print their name below.

Trustee's signature	
Trustees's name	

Trustee's signature	
Trustees's name	

Trustee's signature	
Trustees's name	

Trustee's signature	
Trustees's name	

Trustee's signature	
Trustees's name	

Trustee's signature	
Trustees's name	

Date of signing	DD/MM/YYYY
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